tradovate

Monthly Statement
10/31/2026

[redacted]

Account Nu ****421
Trader name: [redacted]

Open Trade Equity

No Open Trade Equity

Account Balances

Segregated		Ledger	Open Trade	Total
Beginning balance		3,904.55	-	3,904.55
Activity		374.36	-	374.36
Ending Balance	**US$**	4,278.91	-	4,278.91

Total US$ Equivalent	Ledger	Open Trade	Total
Segregated	4,278.91	-	4,278.91
Net Liquidating Value			**4,278.91**

Daily Activity Summary

US$	Contracts	Exch	Comm	NFA	PNL	Segregated	Secured	Swap
10/01/2026 ESZ6	2	-2.76	-2.58	-0.02	87.50	82.14	-	-
10/01/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/02/2026 ESZ6	2	-2.76	-2.58	-0.02	87.50	82.14	-	-
10/02/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/05/2026 ESZ6	2	-2.76	-2.58	-0.02	100.00	94.64	-	-
10/05/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/07/2026 ESZ6	2	-2.76	-2.58	-0.02	-512.50	-517.86	-	-
10/07/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/08/2026 ESZ6	2	-2.76	-2.58	-0.02	100.00	94.64	-	-
10/08/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/09/2026 ESZ6	2	-2.76	-2.58	-0.02	100.00	94.64	-	-
10/09/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/12/2026 ESZ6	2	-2.76	-2.58	-0.02	87.50	82.14	-	-
10/12/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/13/2026 ESZ6	2	-2.76	-2.58	-0.02	100.00	94.64	-	-
10/13/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/14/2026 ESZ6	2	-2.76	-2.58	-0.02	100.00	94.64	-	-
10/14/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/15/2026 ESZ6	2	-2.76	-2.58	-0.02	87.50	82.14	-	-
10/15/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
10/16/2026 ESZ6	2	-2.76	-2.58	-0.02	100.00	94.64	-	-
10/16/2026 Clearing_Fee	-	-	-	-	-0.38	-0.38	-	-
	22	-30.36	-28.38	-0.22	433.32	374.36	-	-

Daily Trading Details

Trading details for E-mini S&P 500 - Dec. 2026 (ESZ6)

	Date & Time	Code	Buy Qty	Sell Qty	Filled Price	Order_Id
Fills						
	10/01/2026 03:04:01 PM(GMT)	FILL	-	1	7678.5	122,239,657
	10/01/2026 03:08:05 PM(GMT)	FILL	1	-	7676.75	122,293,595
	10/02/2026 03:04:01 PM(GMT)	FILL	-	1	7785	125,104,681

10/02/2026 03:04:45 PM(GMT)	FILL	1	-	7783.25	125,158,486
10/05/2026 03:08:00 PM(GMT)	FILL	-	1	7807.25	129,741,820
10/05/2026 03:09:11 PM(GMT)	FILL	1	-	7805.25	129,746,515
10/07/2026 03:04:01 PM(GMT)	FILL	-	1	7818.25	134,971,941
10/07/2026 03:13:14 PM(GMT)	FILL	1	-	7828.5	135,046,116
10/08/2026 03:10:01 PM(GMT)	FILL	-	1	7827.5	137,737,645
10/08/2026 03:14:27 PM(GMT)	FILL	1	-	7825.5	137,683,364
10/09/2026 03:04:01 PM(GMT)	FILL	1	-	7848.25	140,523,436
10/09/2026 03:17:51 PM(GMT)	FILL	-	1	7850.25	140,469,749
10/12/2026 03:02:01 PM(GMT)	FILL	1	-	7858.5	144,358,489
10/12/2026 03:15:51 PM(GMT)	FILL	-	1	7860.25	144,378,039
10/13/2026 03:09:01 PM(GMT)	FILL	-	1	7843	146,757,485
10/13/2026 03:25:28 PM(GMT)	FILL	1	-	7841	146,693,544
10/14/2026 03:06:00 PM(GMT)	FILL	-	1	7791.75	149,315,043
10/14/2026 03:07:54 PM(GMT)	FILL	1	-	7789.75	149,329,325
10/15/2026 02:59:01 PM(GMT)	FILL	1	-	7769.25	152,359,715
10/15/2026 03:03:35 PM(GMT)	FILL	-	1	7771	152,359,716
10/16/2026 03:06:01 PM(GMT)	FILL	-	1	7774	155,303,325
10/16/2026 03:06:28 PM(GMT)	FILL	1	-	7772	155,250,140
		11	11		

ESZ6	**US$**
Gross Profit or Loss from Trades	437.50
Change in Open Trade Equity	-
	437.50

Tradovate Privacy Statement

1. Overview

At NinjaTrader Clearing, LLC dba Kraken Derivatives US, NinjaTrader and Tradovate ("Tradovate"), maintaining our customers' trust and confidence is a high priority. The protection of your personal information is critical in acheiving that trust and confidence. Rest assured, WE WILL NEVER SELL YOUR PERSONAL INFORMATION, but beyond that, the following Privacy Policy is intended to explain how Tradovate collects, shares, uses and protects your personal information.

* * If you are a California resident a supplemental policy is here for your review

* * If you reside in the United Kingdom or European Union a supplemental policy is here for your review

2. The Personal Information we collect

The majority of Personally Identifiable Information (PII) and other information that we collect comes directly from the information provided by you on the account opening application and during the account opening process, including your uploading of relevant documentation or your sending emails or submitting information in response to questions. This PII may include your: Name, Date of Birth, Address, Phone number, email address, social security number or tax ID, occupation, asset information, trading/investment experience and risk tolerance.

We also may collect PII from third parties like Introducing Brokers, your agent, third party background check providers and consumer credit reporting agencies. Finally, we gather information regarding your usage, experiences and engagement with our web pages and software applications. This may include information related to your transactions with us, including, IP addresses used to access services, account funding, trading history, and interactions with our trading platforms and websites. 'Cookies' are small text files consisting of encrypted information assigned to your computer's browser. Cookies do not collect PII, however they do provide us with data that we use to improve your service experience and maintain regulatory and legal compliance. Cookies do not collect or contain passwords or account information, but they can identify you so that you do not have to input user ID information every time you navigate the website. The Cookies are also used for administrative purposes, such as to maintain security on our websites.

Tradovate services are not suitable for nor directed at persons under 18, and we do not knowingly collect the personal information of minors under 18. If you learn that your child has provided us with personal information without your consent, you may contact us as set forth below so that we may delete their personal information.

Some advertisers on Tradovate's website and third-party service providers that you may access from the Tradovate Services may also collect data from you and access Cookies from your computer. We do not control this and the use by these advertisers and service providers is governed by each advertiser's or service provider's own Privacy Policies. You have the choice whether to accept or decline the Cookies, but you should realize that some Tradovate services' features and some advertising preferences may not function if you decline Cookies. Other information we collect through your use of the websites and services includes Internet Protocol (IP) address, browser types, internet service provider, time stamps, and operating systems.

3. Use, Sharing and Disclosure of Your Information

Again, Tradovate will not sell your PII. Tradovate may however share your PII with non-affiliated service providers, its legal counsel, futures exchanges, government agencies and courts for the following reasons. YOU MAY NOT OPT OUT OF THIS SHARING, EXCEPT FOR THE FINAL REASON LISTED BELOW:

- To review your account application (including credit and background checks) and provide you with access to Tradovate service and software.
- To effect, complete, administer and enforce any transactions you may perform through or with us, including processing of orders, account funding and withdrawal and emailing statements.
- As required by law or court order/subpoena.
- To perform reviews and audits of internal procedures.
- In connection with mergers, acquisitions or restructuring, transfer or sale of all or a portion of our business.
- To analyze and improve platform functionality, optimize the customer experience, measure effectiveness of marketing initiatives, and otherwise process data. YOU WILL BE AFFORDED THE OPPORTUNITY TO OPT OUT OF THIS SPECIFIC SHARING WITH NON-AFFILIATED THIRD PARTIES.

Tradovate may also share your PII with and amongst its affiliates in order to achieve the following:

- To operate, research and improve our services and websites.
- To display customized content and advertisements.
- To develop new services.
- To communicate with you.

Tradovate Privacy Statement, continued

Google Analytics Advertising: We use Google Analytics codes to log when users view specific pages or take specific actions on a website and utilize Google Analytics' Remarketing, Display Network Impression Reporting, and Audience Demographics and Interest Reporting. This allows us to provide targeted online advertising in the future on websites across the internet based on your past visits to our website, report how ad impressions and interactions with ad impressions relate to visits to our website, and report demographic and interest data. If you do not wish to receive this type of advertising from us in the future, you can opt out by visiting Google's Ad Settings. More information about Ad Settings can be found by clicking here.

Aside from the above, as part of being a regulated financial institution we are subject to various laws and regulations that may require us to disclose your PII to government authorities and exchanges upon which you trade. For example, large trader reporting as well as in compliance with USA Patriot Act requirements. Court orders and Subpoenas may require such disclosure as well.

Under the Commodity Futures Trading Commission's regulations, we are required to keep your information a minimum of five (5) years and we may also preserve such information in order to satisfy other governmental or regulatory agency requests, bona-fide legal requests, or to defend Tradovate against legal claims.

4. Changes to this Policy or your information

Tradovate may modify and change this Privacy Policy. If we do modify it in a material way, we will post the amended policy and we may also deliver it via email or other reasonable and appropriate ways, such as posting a notice on the services or attaching it to account statements.

In such case, following a posting of notice of changes to the Privacy Policy or other means of delivery, your continued use of the website and or services will constitute acknowledgement and acceptance of the new Privacy Policy.

Tradovate relies on the PII provided to us. Should you believe or become aware that your PII has changed or is incorrect, it is important and indeed required under your contractual Customer Agreement with us, that you notify us of any necessary changes. For example, changes to your name, address, updated emails, contact information, material changes to your financial status or other changes to the information you provide us with respect to opening and servicing your account. Subject to legal and regulatory retention requirements, you have the right to request that we delete your PII as well.

Contact Information

If you have questions related to this Privacy Policy please contact us at the email, phone number or address shown at the bottom of this page: